FORM PTO-1082

EXPRESS MAIL LABEL NO.: EV246529364US
PATENT
Attorney Docket No.: H0003938
Date: August (2003), 2003

000128

(Insert Customer Number)

Inventor(s):

s): Chien-Wel Li et al.
DIFFUSION BARRIER COATING FOR SI-BASED COMPONENTS

MAIL STOP PATENT APPLICATION COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

Sir:						L			
Tran	smitted herev	with for filing is the	patent applicat	ion of:					
21 6 8 8 0 0 0 0 0 0 0 0 0	Sheet(s) of drawing (8 formal   Informal)   Combined Occaration for Patent Application and Power of Attorney.   Sexecuted   Unexecuted   Combined Occaration for Patent Application and Power of Attorney.   Sexecuted   Unexecuted   Form PTO-1595 and an assignment of the invention to Honeywell International Inc., Law Dept. AB2, P.O. Box 2245,   Monistown, New Jersey 07982-9806   Will follow.   Monistown, New Jersey 07982-9806   Will follow.   A certified copy of   from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119.   Will follow.   An associate Power of Attorney   A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.   Will follow   Disclosure Statement Form PTO 1449 and   prior art reference(s) of non US patents and patent applications.								
FOR:		NO. FILED	NO. EXTRA	SMALL ENTITY RATE	SMALL ENTITY FEE		OTHER THAN SMALL ENTITY RATE	OTHER THAN SMALL ENTITY FEE	
BASIC FEE TOTAL CLAIMS INDEP CLAIMS MULTIPLE DEP CLAIMS PRESENTED		48-20= 11-3=	28 8	X 9 X 42 X 140 TOTAL =	\$370.00 \$ \$ \$	OR OR OR OR	X 18 X 84 + 280 TOTAL:	\$ 750.00 \$ 504.00 \$ 672.00 \$ \$ 1,926.00	
_	sheet is enclosed.  A check in the amount of \$1,966.00 to cover the filling fee and assignment recordal fee is enclosed.								
⊠	The Commissioner is hereby authorized to charge payment of the following fees associate with this communication or credit any overpayment to Deposit Account No. 01-1125. A duplicate copy of this sheet is enclosed.  ☑ Any additional filing fees required under 37 CFR 1.16. ☑ Any patent application processing fees under 37 CFR 1.17.								
	The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 01-1125. A duplicate copy of this sheet is enclosed.  Any platent application processing fees required under 37 CFR 1.17.  The issue fee set in 37CFR 1.16 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.31(b).  Any filling fees under 37 CFR 1.16 for presentation or extra claims.								
		,		Michael	tfully submitted,			-	

Honeywell International Inc. Law Dept. AB2 P.O. Box 2245 Morristown, NJ 07962-9806 (602) 365-2588

Docket No.: H0003938

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Chien-Wei Li et al.

For: DIFFUSION BARRIER COATING FOR SI-BASED COMPONENTS

## CERTIFICATE OF MAILING

MAIL STOP PATENT APPLICATION COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

"EXPRESS MAIL" Mailing Label No.: \_\_EV246529364US

Date of Deposit: August 18, 2003

I hereby certify that an application for patent, including:

21 pages of Specification, 12 pages of Claims, a one-page Abstract; 6 Sheet(s) of formal Drawings; Combined Declaration for Patent Application and Power of Attorney; General Authorization/Request to Petition for Extensions of Time; an executed Assignment document and Form PTO 1595; a check in the amount of \$1,966.00 to cover the filing fee and the assignment recordal fee; an Information Disclosure Statement and Form 1449; a Transmittal Letter (Form PTO 1082); and a Return Postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit:

Michael A. Shimokaji, Reg. 56. 32,303

Express Mail No.: EV246529364US PATENT

H0003938

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	application of: Chien-Wei Li et al.				
Serial	No.: Filed herewith				
Filing	Date: Filed herewith				
For:	DIFFUSION BARRIER COATING FOR SI- BASED COMPONENTS				

## GENERAL AUTHORIZATION/REOUEST TO PETITION FOR EXTENSIONS OF TIME

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

With reference to the subject application, and pursuant to 37 C.F.R. § 1.136, Applicant(s) hereby authorize(s) and request(s) the Commissioner to treat any correspondence requiring a petition for extension of time as containing such a request therefor for the appropriate length of time. This general authorization is effective during the pendency of this application, including any division or continuing application therefrom.

Where no check is received by the Commissioner, you are hereby authorized to charge payment of the requisite petition fees, or charge any additional fee required under 37 C.F.R. § 1.17, or credit any overpayment of same, to Deposit Account No. 01-1125. A duplicate copy of this general authorization is enclosed.

Respectfully submitted.

Michael A. Shimokaji, Reg. No. 32,303 Robert Desmond Reg. No. 38,430

Attorneys for Applicant

Honeywell International Inc. Law Dept. AB2 P.O. Box 2245 Morristown, NJ 07962-9806 (602) 365-2588

Date: August 18 . 2003